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## TRANSMITTAL FORM

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Total Number of Pages in This Submission  
(excluding references)

4

Application Number

10/729,329

Filing Date

December 5, 2003

First Named Inventor

Masaki Nakamura

Group Art Unit

Unknown

Examiner Name

Unassigned

Attorney Docket Number

56232.97

### ENCLOSURES (check all that apply)

☒ Deposit Account 07-1850  
Authorization

☒ Postage Paid Return Postcard

☐ Response

☐ Amendment Transmittal Letter (in  
duplicate)

☐ Affidavits/declaration(s)

☐ Petition for Extension of Time (\_\_\_\_  
month) (in duplicate)

☒ Information Disclosure Statement  
(in duplicate) with Form PTO-1449  
and 14 References

☒ Certificate of Mailing

☐ Certified Copy of Priority  
Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s) In/Formal \_\_\_\_ Sheets with  
Submission of Drawings Transmittal

☐ Issue Fee Transmittal with PTO-85b  
(in duplicate)

☐ Request for Continued Examination  
Transmittal (RCE)

☐ Fee Transmittal Form (in duplicate)

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

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☐ CD, Number of CD(s) \_\_\_\_

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Request for Status of Application

☐ Other Enclosure(s)  
(please identify below):

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Squire, Sanders & Dempsey L.L.P.  
Paul J. Meyer, Jr., Reg. No. 47,791

Signature

Date

January 6, 2004

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: January 6, 2004

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January 6, 2004

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Examiner: Unassigned

Masaki Nakamura et al.

Serial No. 10/729,329

Art Unit: Unknown

Filed: December 5, 2003

Title: Aqueous Dispersion, Ink For Ink-Jet Printing, And Image Forming Method

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§1.97-1.98**

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. One copy of each cited reference is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R.

§1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: January 6, 2004

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Respectfully submitted,

A handwritten signature in black ink, appearing to be 'PJM', written over a horizontal line.

Paul J. Meyer, Jr.  
Attorney for Applicants  
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